1   METHODS AND APPARATUS FOR MODIFYING

2   PROGRAMS STORED IN READ ONLY MEMORY

3

4   BACKGROUND OF THE INVENTION

5

6   1. Field of the Invention

7   The invention relates to embedded systems. More

8   particularly, the invention relates to methods and apparatus for

9   modifying embedded system programs stored in read only memory

10   (ROM).

11

12   2. State of the Art

13   Digital computing systems are typically known to include

14   hardware and software. Software is typically stored on a writable

15   medium such as a magnetic disk. As most computer users know,

16   software often contains errors or mistakes which prevent it from

17   functioning properly. Software vendors often publish updates or

18   "patches" to correct errors in software. An update is typically a

19   new complete version of the original software which is intended to

20   replace the entire original program. The original software is

21   erased from the writable medium and the replacement software is

22   written to the writable medium in place of the original. A patch

23   is a program which is run to modify the original software. The

24   patch program finds the portion(s) of the software which need to

25   be replaced and overwrites them with replacement code.

1 Today, digital computing systems are ubiquitous and often

2 unseen. These systems are designed to perform specialized

3 functions such as controlling the operation of an appliance, a

4 motor vehicle, or a computer peripheral device such as a modem or

5 a printer. Such computing systems are usually referred to as

6 "embedded systems". They include a microprocessor and software

7 which is typically stored on a read only memory (ROM). ROM is

8 advantageous because it is non-volatile, small, inexpensive, and

9 energy efficient. Program code stored on ROM is usually called

10 "firmware" rather than software because once it is stored on ROM,

11 the code cannot be modified. The code takes on the quality of

12 hardware in the sense that in order to change it, the physical ROM

13 device must be replaced. Indeed, many embedded systems have

14 "socketed" ROM so that the ROM can be easily unplugged and

15 replaced with a new ROM if the program needs to be changed.

16 However, that is not always practical or convenient.

17

18 One known method for alteration of firmware programs in ROM-

19 based systems is disclosed in U.S. Patent Number 4,607,332, issued

20 on August 19, 1986 to Goldberg. The method allows for dynamic

21 alteration of ROM programs with the aid of random access memory

22 (RAM) and through the use of the standard linkages associated with

23 subroutine calls in the system processor. When each ROM-based

24 routine is written, one program statement is a call to a ROM-

25 located processing routine which searches a RAM-located data

1   structure. If there exists a correspondence between information

2   passed on the call to the processing routine and certain elements

3   of the data structure, a RAM-based program is substituted for the

4   ROM-based program. After adjusting the processor to the states

5   just after the call to the ROM-based program, the processing

6   routine effects a transfer to the replacement routine in RAM. The

7   location of this replacement routine is also found in the data

8   structure. The main disadvantage of the method proposed by

9   Goldberg is that it is inefficient. It uses up too much space in

10   ROM and RAM. It also requires an external compiler/interpreter.

11   Since the processing function is ROM-based, it is limited in scope

12   and potential to be modified. The ROM-based function also

13   presents excessive processing overhead since it must perform a

14   search for possible replacement code even when no replacement code

15   exists

16

17   Another system for altering ROM-based firmware is disclosed

18   in U.S. Patent Number 5,740,351, issued on April 14, 1998 to

19   Kasten. The system relies on an "extensible interpreter", i.e. a

20   modified FORTH kernel and a plurality of customizable call outs

21   (CCOs). CCOs are present in the ROM-based program. When a CCO is

22   encountered during execution of the program, the modified FORTH

23   kernel takes control and looks for the called function or

24   parameter. If it is found (in RAM or in ROM), it is executed or

25   fetched and the result is returned by the modified FORTH kernel to

1 the next instruction in the ROM program. The system is primarily

2 intended for interactive use with a dumb terminal during

3 "debugging" of the ROM-based program. CCOs in RAM must be defined

4 using the modified FORTH kernel. The main advantage of Kasten

5 over Goldberg is that Kasten does not require an external

6 compiler/interpreter. Disadvantages of Kasten are that it is

7 limited to modifications made using the FORTH programming language

8 and it is inefficient, requiring that a substantial part of ROM be

9 devoted to the modified FORTH kernel.

10

11   Still another system for altering software in embedded

12 systems is disclosed in U.S. Patent Number 5,901,225, issued on

13 May 4, 1999 to Ireton et al. The system includes an embedded

14 system device coupled to an external memory. The device includes

15 a non-alterable memory, including firmware, coupled to a

16 processor. The device further includes a relatively small amount

17 of patch RAM within the device also coupled to the processor.

18 Patches are loaded from the external memory into the patch RAM.

19 The device further includes a means for determining if one or more

20 patches are to be applied. If the device detects a patch to be

21 applied, the system loads the patch from the external memory into

22 the patch RAM. The device also includes a breakpoint register.

23 When the value of the program counter of the processor equals the

24 value in the breakpoint register, a patch insertion occurs, i.e.,

25 the processor deviates from executing firmware to executing patch

1   instructions. The system described by Ireton et al. is quite

2   complex.

3

4   SUMMARY OF THE INVENTION

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6   It is therefore an object of the invention to provide methods

7   and apparatus for modifying firmware code stored in a read only

8   memory.

9

10   It is also an object of the invention to provide methods and

11   apparatus for modifying firmware code stored in a read only memory

12   which make efficient use of RAM.

13

14   It is another object of the invention to provide methods and

15   apparatus for modifying firmware code stored in a read only memory

16   which are relatively simple in architecture.

17

18   It is also an object of the invention to provide methods and

19   apparatus for modifying firmware code stored in a read only memory

20   which do not require any decision making elements in ROM to

21   support future code modifications.

22

23   It is another object of the invention to provide methods and

24   apparatus for modifying firmware code stored in a read only memory

1 which do not require any processor specific or processor dependent

2 elements.

3

4   It is still another object of the invention to provide

5 methods and apparatus for modifying firmware code stored in a read

6 only memory which are applicable to any programming language.

7

8   It is also an object of the invention to provide methods and

9 apparatus for modifying firmware code stored in a read only memory

10 which do not limit the scope of future code modifications.

11

12   It is another object of the invention to provide methods and

13 apparatus for modifying firmware code stored in a read only memory

14 which incur minimum processing overhead.

15

16   It is still another object of the invention to provide

17 methods and apparatus for modifying firmware code stored in a read

18 only memory which minimize the size of ROM code segments needed to

19 be replaced to fix an error.

20

21   In accord with these objects which will be discussed in

22 detail below, the apparatus of the invention includes an embedded

23 device having program code stored in ROM and an on-board or

24 external RAM for storing modified code segments. The methods of

25 the invention include structuring the ROM-based firmware so that a

1 RAM-based function is called prior to each potentially modifiable

2 code segment. Prior to modifying the firmware, a dummy function

3 is stored in RAM so that every call to RAM is simply returned to

4 ROM. When a segment of code is to be modified, a replacement is

5 stored in RAM and indexed by the return address of the function

6 call. The system of the present invention is efficient as it uses

7 very little RAM. It does not require any ROM-based decision

8 making elements; and it is not limited to a particular programming

9 language or processor. The system of the invention is most

10 suitable for use in a computer peripheral which communicates with

11 a higher level controller, e.g. a personal computer, from which

12 replacement code can be downloaded. Alternatively, replacement

13 code in RAM can be loaded by a small bootstrap program (i.e. a

14 run-time system initialization program) stored in replaceable

15 external ROM.

16

17 Additional objects and advantages of the invention will

18 become apparent to those skilled in the art upon reference to the

19 detailed description taken in conjunction with the provided

20 figures.

21

22 BRIEF DESCRIPTION OF THE DRAWINGS

23

24 Figure 1 is a simplified block diagram of an embedded system

25 according to the invention;

1

2   Figure 2 is a schematic illustration of the organization of

3 ROM-based code according to the invention;

4

5   Figure 3 is a schematic illustration of the dummy function in

6 RAM according to the invention;

7

8   Figure 4 is a schematic illustration of the replacement code

9 in RAM indexed to return address; and

10

11   Figure 5 is a simplified flowchart of the operation of the

12 invention.

13

14   DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

15

16   Referring now to Figure 1, an embedded system 10 according to

17 the invention includes a processor 12 which is coupled to a

18 firmware ROM 14 and a RAM 16. As illustrated in Figure 1, the RAM

19 16 is ideally much smaller than the ROM 14, for example

20 approximately one one hundredth the size of the ROM.

21

22   Turning now to Figure 2, according to the invention, the

23 firmware stored in the ROM 14 is divided into code segments, e.g.

24 18a-18d, 20a-20d, 22a-22d, and 24a-24d. Prior to the start of

25 each code segment, a call function with a (calling and) return

1   address is placed, e.g. at 18, 20, 22, and 24. The locations of

2   the call functions are preferably organized for the most efficient

3   use of RAM. It might seem practical to locate each call function

4   at each logical break in the code, e.g. at the start of each new

5   routine. However, in the case of very long routines, it can be

6   more efficient to place call functions equally spaced throughout

7   the routine. This will be better understood after considering the

8   code listings below. Essentially, whenever replacement code is

9   used, all of the code from the call function until the corrected

10 code is reached is replaced. Thus if there are one thousand lines

11 of code between call functions and only the six hundredth line of

12 that code needs to be changed, six hundred lines will be replaced

13 nonetheless. If the call functions were spaced every one hundred

14 lines throughout the thousand line routine, no more than one

15 hundred lines would need to be replaced. Thus, a balance must be

16 struck between the number of call functions placed throughout the

17 ROM-based code and the amount of RAM needed to make code

18 replacements.

19

20   Figure 3 illustrates the structure of RAM 16 when none of the

21 code segments in ROM is to be replaced. A dummy function 26,

22 including instruction lines 26a-26d resides in RAM 16. Whenever a

23 call function (18, 20, 22, 24 in Figure 2) is executed, the dummy

24 function 26 responds by returning program execution to the return

1   address (which is usually the next line after the calling function).

2

3   Figure 4 illustrates the structure of RAM 16 when some of the

4   code segments in ROM are to be replaced. In this case, the Return

5   in the Dummy Function is replaced with a branch to the

6   corresponding Patch Center Function. According to the presently

7   preferred embodiment, a lookup table 28, including a "patch center

8   function" (line 1 of the RAM table) provides the functionality

9   necessary to determine whether a code segment has a replacement in

10   RAM. Operation of the patch center function is described in more

11   detail below with reference to Figure 5 and Code Listing 2. The

12   lookup table is illustrated schematically at lines 2-4 of the RAM

13   table, line 2 being the start of table (SOT) and line 4 being the

14   end of table (EOT). Each entry in the lookup table refers to a

15   return address (RA) and a patch address (PA). For example, the

16   first entry refers to a return address of X+1 and a patch address

17   of 5. This signifies that the code being replaced is a

18   replacement (shown at 30 in Figure 4) for code segment X; the

19   replacement code begins at line 5 in the RAM table and upon

20   execution of the replacement code, execution continues at an

21   address specified in the replacement code, typically the next

22   segment after X. It is possible to replace only a portion of a

23   code segment and return to a line within the original code segment

24   to continue execution. As will be seen below in Code Listing 2,

25   it is necessary to replace a contiguous set of code lines from the

1   call function forward. Similar entries are indicated for code

2   segment Y and Z replacements which are illustrated at 32 and 34 in

3   Figure 4.

4

5   Referring now to Figure 5, when the patch center function is

6   called at 100, the lookup table entries are scanned at 102. If a

7   return address match is found at 104, program execution is

8   directed to the patch address at 106. The replacement code is

9   executed at 108, and program execution is returned to a ROM

10   address specified in the patch code at 110. So long as the end of

11   the table has not been reached as determined at 112, the patch

12   center function looks for a table entry for replacement code.

13   When it is determined that the end of the table has been reached,

14   program execution returns to the return address in ROM at 114. As

15   mentioned above, if there is no replacement code in RAM, program

16   execution returns to the return address specified by the call

17   function which also acts as an index to the lookup table. If

18   replacement code is executed, the return address is specified by

19   the replacement code.

20

21   The operation of the invention will be better understood with

22   reference to the following Code Listing 1 and Code Listing 2 which

23   illustrate the structure of the code in ROM and RAM respectively.

```
*******************************
* Code Listing 1 (ROM Segment)
*******************************

Code_in Rom:
        Patch_Call1()                  ; Function call
        .

        .

        .
        Patch_Call1()
        .

        .

        .
        Patch_Call2()
ROM_Return_Addr2:                      ; Return Address (RA)
        I = I + 10                     ; Segment code starts
        K + K - 55                     ; Need modification !
ROM_Address2:
        M = M + 88

        .
                                       ; segment code ends
        Patch_Call2()
        J = J - 15
        .

        .
        Patch_Call2()
        .

        .

        .
        Patch_Call3()
        .

        .

        .
        Patch_Call3()
```

Code Listing 1 (ROM Segment)

Referring to Code Listing 1, a code segment is shown with

seven patch calls at lines 7, 11, 15, 23, 27, 31, and 35. Most of

the code is not shown but is represented by dots, i.e. at lines 8-

10, 12-14, 21, 22, 25, 26, 28-30, and 32-34. The code which will

be modified follows patch call2() at line 15.

```
************************
* Code Listing 2 (RAM Segment)
************************
Code_in_RAM:

Patch_Call1                                    ; Dummy function
        Return                                 ; Return to ROM Code

Patch_Call2:                                   ; Dummy Function
        Go to Patch_Center 2                   ; Replace Return here !

Patch_Call3:                                   ; Dummy Function
        Return                                 ; Return to ROM Code
        .
        .
        .

Patch_Center2:                                 ; Patch Center Function

        Save_Context()                         ; Save necessary registers

        Address_Match = Patch_Address_Table_Search()
                                               ; Lookup table searching

        if (Address_Match == YES)
                Go to Patch_Code2              ; Have replacement code
        else
                Restore_Context()             ; Restore the registers
                Return                         ; Return to ROM code
Patch_Center2_Lookup_SOT:                      ; Start of Lookup Table
        ROM_Return_Addr2                       ; Return Address (RA)
        Patch_Code2                            ; Patch Address (PA)
        .
        .
        .
Patch_Center_Lookup_EOT:                       ; End of Lookup Table

Patch_Code2:                                   ; Patch Address (PA)
        I = I + 10                             ; Replacement code
        K = K - 99                             ; Replace K = K - 55 in ROM
        Restore_Context()                      ; Restore the registers
        Return to ROM_Address2
```

Code Listing 2 (RAM Segment)

Turning now to Code Listing 2, lines 6-13 represent the dummy functions. As shown, patch calls 1 and 3 are dummy functions which return the program to ROM. Patch call 2 directs the program

1   to patch center 2 which begins at line 18. Patch center 2 saves

2   the context and checks the address match. The address match

3   lookup table is illustrated at lines 31-37. If the address

4   matches, the program is directed to patch code 2 which starts at

5   line 39. If the address does not match, context is restored and

6   the program is returned to ROM. As shown at lines 39-43, patch

7   code 2 is designed to replace two lines of code in code listing 1,

8   i.e. replace lines 17 and 18 of code listing 1 with lines 34 and

9   35 of code listing 2. After executing lines 40 and 41 of code

10 listing 2, context is restored at line 42 and the program is

11 returned to ROM at 43. The return address is indicated in code

12 listings 1 and 2 as ROM_Address2. The actual return address is

13 derived from knowledge of the code in ROM. It should be noted

14 that line 40 of code listing 2 is identical to line 17 of code

15 listing 1. Nevertheless, it is replaced because, as mentioned

16 above, the function calls according to the invention allow and

17 require replacement of all code from the function call through the

18 corrected code.

19

20   There have been described and illustrated herein methods and

21 apparatus for modifying program code stored in ROM. While

22 particular embodiments of the invention have been described, it is

23 not intended that the invention be limited thereto, as it is

24 intended that the invention be as broad in scope as the art will

25 allow and that the specification be read likewise. It will

1   therefore be appreciated by those skilled in the art that yet

2   other modifications could be made to the provided invention

3   without deviating from its spirit and scope as so claimed.